

**FORM 11**  
**ACCOUNT OPENING FORM**  
**(FOR NON-INDIVIDUALS)**

Participant Name (DP ID ____) Address (Pre-printed)					<b>Client –ID</b> (To be filled by Participant)																						
We request you to open a depository account in our name as per the following details: <i>(Please fill all the details in CAPITAL LETTERS only)</i>										<b>Date</b>		D	D	M	M	Y	Y	Y	Y								
<b>A)</b>	Details of Account holder(s):																										
			Name								PAN																
	Sole/ First Holder																										
	Second Holder																										
	Third Holder																										
<b>B)</b>	<b>Type of account</b>																										
	<input type="checkbox"/> Body Corporate						<input type="checkbox"/> FI						<input type="checkbox"/> FII														
	<input type="checkbox"/> Qualified Foreign Investor						<input type="checkbox"/> Mutual Fund						<input type="checkbox"/> Trust														
	<input type="checkbox"/> Bank						<input type="checkbox"/> CM						<input type="checkbox"/> HUF														
	<input type="checkbox"/> Other (Please specify) <a href="#">AoP for holding the permitted Securities</a>																										
<b>C)</b>	For Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the partner(s), trustee(es) etc., the name & PAN of the Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:																										
	c) Name										d) PAN																
<b>D)</b>	Income Details (please specify)																										
	Income Range per annum										and	Networth															
	<input type="checkbox"/> Below ` 20 Lac											Amount (₹) _____															
	<input type="checkbox"/> ` 20 – 50 Lac											As on (date)															
	<input type="checkbox"/> ` 50 Lac – 1 crore											<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>								D	D	M	M	Y	Y	Y	Y
	D	D	M	M	Y	Y	Y	Y																			
<input type="checkbox"/> Above ` 1 crore										(Networth should not be older than 1 year)																	
<b>E)</b>	<b>In case of FIIs/Others (as may be applicable)</b>																										
	RBI Approval Reference Number																										
	RBI Approval date										D	D	M	M	Y	Y	Y	Y									
	SEBI Registration Number (for FIIs)																										
<b>F)</b>	<b>Bank details</b>																										
	1	Bank account type <input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Others (Please specify) _____																									
	2	Bank Account Number																									
	3	Bank Name																									
	4	Branch Address _____ _____																									

			City/town/ village			PIN Code							
			State			Country							
	5	MICR Code											
	6	IFSC											
G)	Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors:					<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)							
H)	<b>Clearing Member Details</b> (to be filled up by Clearing Members only)												
	1	Name of Stock Exchange											
	2	Name of Clearing Corporation/ Clearing House											
	3	Clearing Member ID											
	4	SEBI Registration Number											
	5	Trade Name											
	6	CM-BP-ID (to be filled up by Participant)											
I)	<b>Standing Instructions</b>												
	1	We authorise you to receive credits automatically into our account.							<input type="checkbox"/> Yes <input type="checkbox"/> No				
	2	Account to be operated through Power of Attorney (PoA)							<input type="checkbox"/> Yes <input type="checkbox"/> No				
	3	Account to be operated through Demat Debit and Pledge Instruction (DDPI)							<input type="checkbox"/> Yes <input type="checkbox"/> No				
	4	<b>SMS Alert facility</b>											
		<b>Sr. No.</b>	<b>Holder</b>			<b>Yes</b>	<b>No</b>						
		1	Sole/First Holder			<input type="checkbox"/>	<input type="checkbox"/>						
		2	Second Holder			<input type="checkbox"/>	<input type="checkbox"/>						
		3	Third Holder			<input type="checkbox"/>	<input type="checkbox"/>						
	5	Mode of receiving Statement of Account [Tick any one]		<input type="checkbox"/> Physical Form									
				<input type="checkbox"/> Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form].									
K)	<b>List of family members (Separate Annexure maybe used in case number of members is higher)</b>												
	<b>Sr No.</b>	<b>Name of Coparcener/Member</b>		<b>Gender</b>	<b>Date of Birth</b>	<b>Relation with Karta</b>	<b>Whether Coparcener/ Member (please specify)</b>						

### Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

[\(Additional declaration applicable only for AoPs for opening of demat accounts in the name of Association of Persons \(AoP\) itself for holding the permitted Securities\)](#)

- [1. I/we confirm that the AoP holds only such securities in dematerialized form as permitted by the statutes governing its constitution.](#)
- [2. I/we confirm that the demat account is not be used for subscribing / holding other than the permitted securities.](#)

**Authorised Signatories** (Enclose a Board Resolution for Authorised Signatories. In case of HUF details of Karta to be given)

Sole/First Holder	Name	Signature(s)
First Signatory/Karta of HUF		X
Second Signatory		X
Third Signatory		X
<b><u>Other Holders</u></b>		
Second Holder		X
Third Holder		X

<b>Mode of Operation for Sole/First Holder</b> (In case of joint holdings, all the holders must sign. In case of HUF this is not applicable)	
<input type="checkbox"/> Any one singly	
<input type="checkbox"/> Jointly by	
<input type="checkbox"/> As per resolution	
<input type="checkbox"/> Others (please specify)	

#### Notes:

- In case of additional signatures, separate annexures should be attached to the application form.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule

of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.

3. For receiving Statement of Account in electronic form:
  - I. Client must ensure the confidentiality of the password of the email account.
  - II. Client must promptly inform the Participant if the email address has changed.
  - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.

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**Acknowledgement**

Participant Name, Address & DP ID

Received the application from M/s\_\_\_\_\_ as the sole/first holder alongwith \_\_\_\_\_and \_\_\_\_\_as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you (CM-BP-ID in case of Clearing Members) in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
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**Participant Stamp & Signature**